

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water	Date Mailed to Service List: March 30, 2018
District: Monterey Main	
CPUC Utility #: U210W	Protest Deadline (20th Day): April 19, 2018
Advice Letter #: 1199	Review Deadline (30th Day): April 29, 2018
Tier <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	Requested Effective Date: March 31, 2018
D.09-07-021, D.12-04-048,	
Authorization D.13-07-041	
Description: 2017 WRAM & MCBA	Rate Impact: \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Nancy Hollingsworth
Phone: 916-568-4209
Email: nancy.hollingsworth@amwater.com

Utility Contact: Melody Singh
Phone: 916-568-4246
Email: melody.singh@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

[] APPROVED

[] WITHDRAWN

[] REJECTED

Signature: _____

Comments: _____

Date: _____



4701 Beloit Drive
Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
F (916) 568-4260

March 30, 2018

ADVICE LETTER NO. 1199

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. MO – 1-SF (continued) Monterey County District Tariff Area GENERAL METERED SERVICE SINGLE FAMILY RESIDENTIAL CUSTOMERS	8560-W
XXXX -W	Schedule No. MO – 1-MF (continued) Monterey County District Tariff Area GENERAL METERED SERVICE MULTI-FAMILY RESIDENTIAL CUSTOMERS	8541-W
XXXX -W	Schedule No. MO - 1 C (continued) Monterey County District Tariff Area GENERAL METERED SERVICE NON-RESIDENTIAL CUSTOMERS	8401-W
XXXX -W	Schedule No. MO – 1O (continued) Monterey County District Tariff Area GENERAL METERED SERVICE OTHER CUSTOMERS	8457-W
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PURPOSE

The purpose of this advice letter filing is to request approval of the 2017 WRAM & MCBA balances. This request is made in compliance with authorizations of the California Public Utilities Commission as detailed in D.09-07-021, D.12-04-048, D.13-07-041, and D.16-12-003. Further detail can be found in the request section below.

BACKGROUND

D.09-07-021 originally approved the WRAM & MCBA for Monterey. Certain requirements from the original process were modified in D.13-07-041. Notably, the settlement adopted by

the decision agreed to certain procedures outlined D.12-040-048, which Cal-Am was not a party to.

The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. Cal Am will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Additional criteria on the WRAM amortization process were outlined in D.12-04-048 as follows;

Ordering Paragraphs

- 1. We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement...WRAM balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to the surcharge cap.*

Further, D.16-12-003 addressed the recovery of balances through December 31, 2014. The decision authorized a meter based surcharge over five years. This was implemented through the filing of advice letter 1146-A. The decision also modified the recovery method for future surcharges and imposed a new noticing requirement. Ordering paragraph two states,

- 2. Future Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) advice letters filed and served by California-American Water Company (Cal-Am) for the Monterey District shall request recovery of under-collections (or refunds of over-collections) by a uniform surcharge (or surcredit) on each unit of water sold (volumetric rate) including Tier 1. This applies to all WRAM/MCBA balances recovered once*

the new rate design is implemented. Cal-Am shall provide customer notice of each such advice letter consistent with General Order (GO) 96-B. In addition, for each of the next three advice letter requests, Cal-Am shall notify all customers in the Monterey District by bill insert or direct mail of the request even if that notice is not otherwise required by GO 96-B. The Notice shall be approved by the Commission's Public Advisor before it is issued by Cal-Am.

REQUEST

California American Water requests approval of the balances for the WRAM and MCBA, which are summarized in the table below.

TABLE 4: Recovery/Refund of WRAM/MCBA Balances

Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
Residential				
Current Year Balance	(\$1,868,342)	(\$16,272)	\$0	(\$1,884,613)
UAW Penalty/(Reward)				(\$603,706)
Total 2017 Residential Balance Requested				(\$2,488,319)
2017 Adopted Revenue				\$33,526,575
2017 Balance % of Revenue				7.4%
Non-Residential				
Current Year Balance	(\$2,396,313)	(\$18,780)	\$0	(\$2,415,093)
UAW Penalty/(Reward)				(\$347,830)
Total 2017 Non-Residential Balance Requested				(\$2,762,923)
2017 Adopted Revenue				\$18,719,235
2017 Balance % of Revenue				14.8%

Due to the 10% cap on recovery outlined in D.13-07-041, California American Water requests approval of the balance and the associated tariff language but is not requesting an amortization and placement into rates at this time. Under this current guideline, the amortization of the 2017 balance will begin when the 2016 surcharge collects its entire balance or upon its expiration. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language with the then current charge. If the 2017 WRAM/MCBA was billed based on the above balances, the current authorized consumption, and the adopted amortization schedule per D.12-04-048 Appendix A, the

volumetric surcharges would be \$0.0123 per 100 gallon over 15 months for residential customers.

The non-residential customers would be charged over an 18 month period as follows:

Non-Residential		
Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge per 100 gal	Months
Division 1	\$0.0136	18
Division 2	\$0.0153	18
Division 3	\$0.0170	18
Division 4	\$0.0341	18

Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge Per 100 gal	Months
Misc Construction	\$0.0161	18
OWU-Permanent		
Block 1	\$0.0161	18
Block 2	\$0.0322	18
Block 3	\$0.1289	18
OWU-Temporary	\$0.0161	18

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of March 31, 2018.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:

Melody Singh
*Manager of Rates &
Regulatory*

E-Mail:

melody.singh@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4246

Sarah E. Leeper.....
*Vice President – Legal,
Regulatory*

sarah.leeper@amwater.com

555 Montgomery Street,
Ste. 816
San Francisco, CA 94111
Fax: (415) 863-0615

ca.rates.....

ca.rates@amwater.com

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Jeffrey T. Linam

Jeffrey T. Linam
Vice President of Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

Schedule No. MO-1-SF (Continued)
Monterey County District Tariff Area
GENERAL METERED SERVICE

Sheet 5

SPECIAL CONDITIONS (continued)

Fees & Surcharges:

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The Seaside Basin Adjudication Balancing Account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

Meter Size	Meter Surcharge
For 5 / 8 x 3 / 4 meter	\$0.37
For 3 / 4 meter	\$0.55
For 1 meter	\$0.91
For 1 1 / 2 meter	\$1.83
For 2 meter	\$2.93
For 3 meter	\$5.49
For 4 meter	\$9.15
For 6 meter	\$18.29
For 8 meter	\$29.27

8. Per Advice Letter 1163-B, the balance of the 2016 residential WRAM/MCBA is \$13,079,785. D.13-07-041 places a cap on WRAM/MCBA surcharges of 10% of the last authorized revenue requirement. Since the existing 2015 surcharge (Special Condition #6) meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted amortization schedule per D.12-04-048 Appendix A, the volumetric surcharges would be \$0.1939 per 100 gallon over 36 months. After cessation of the 2015 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language with the then current charge.
9. Per Advice Letter 1199, the balance of the 2017 residential WRAM/MCBA is \$2,488,319. D.13-07-041 places a cap on the WRAM/MCBA surcharges of 10% of the last authorize revenue requirement. Since the existing 2015 surcharge (Special Condition #6) meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge would be \$0.0123 per 100 gallon over 15 months. After cessation of the 2016, a separate Tier 1 filing will be made at that time to update the tariff language with the then current charge. (N)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1199	J. T. LINAM	Date Filed _____
Decision	DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

Schedule No. MO-1-MF (Continued)
Monterey County District Tariff Area
GENERAL METERED SERVICE

Sheet 5

SPECIAL CONDITIONS (continued)

Fees & Surcharges:

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The Seaside Basin Adjudication Balancing Account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

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(N)

(N)

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(TO BE INSERTED BY UTILITY)

Advice 1199

Decision

ISSUED BY

J. T. LINAM

DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed

Effective

Resolution

Schedule No. MO-1C (Continued)
GENERAL METERED SERVICE in the Monterey County District Tariff Area
NON-RESIDENTIAL CUSTOMERS

Sheet 9

SPECIAL CONDITIONS (continued):Fees & Surcharges

9. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharges -- Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch and Bishop). Revenues collected under this surcharge are subject to refund pursuant to Commission decision in A.15-07-019:
- a. In accordance with the final decision issued in Application 15-07-019, the WRAM/MCBA balance through 2014 for the Monterey District shall be recovered over five years with interest at the 90-day commercial paper rate. The surcharge is shown in the table below and will remain effective for 60 months upon approval of Advice Letter 1146-A.

Meter Size	Meter Surcharge
For 5 / 8 x 3 / 4 meter	\$4.21
For 3 / 4 meter	\$8.42
For 1 meter	\$12.63
For 1 1 / 2 meter	\$21.05
For 2 meter	\$33.68
For 3 meter	\$63.15
For 4 meter	\$102.25
For 6 meter	\$210.50
For 8 meter	\$336.80

10. Per Advice Letter 1199 the balance of the 2017 non-residential WRAM/MCBA is \$2,762,923. D. 13-07-041 places a cap on the WRAM/MCBA surcharges of 10% of the last authorize revenue requirement. Since existing 2015 surcharge (Special Condition #6) meets this cap, there is no charge on the bill at this time. After cessation of the 2016, a separate Tier 1 filing will be made at that time to update the tariff language with the current surcharge. The table below reflects what the surcharges would be if the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted amortization schedule per D. 12-04-048 Appendix A.

Non-Residential		
Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge per 100 gal	Months
Division 1	\$0.0136	18
Division 2	\$0.0153	18
Division 3	\$0.0170	18
Division 4	\$0.0341	18

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1199

Decision

ISSUED BY

J. T. LINAM

DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed

Effective

Resolution

(N)

(N)

Schedule No. MO-10 (Continued)
GENERAL METERED SERVICE in the Monterey County District Tariff Area
NON-RESIDENTIAL CUSTOMERS

Sheet 6

SPECIAL CONDITIONS (continued):Fees & Surcharges

9. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharges -- Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch and Bishop). Revenues collected under this surcharge are subject to refund pursuant to Commission decision in A.15-07-019:
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Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge Per 100 gal	Months
Misc Construction	\$0.0161	18
OWU-Permanent		
Block 1	\$0.0161	18
Block 2	\$0.0322	18
Block 3	\$0.1289	18
OWU-Temporary	\$0.0161	18

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1199

Decision

ISSUED BY

J. T. LINAM

DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed

Effective

Resolution

(N)

(N)

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LA-3M Measured Irrigation Service	8725-W, 7712, 8547-W, 8251-W, 8017-W, 7631-W
Monterey County District	
(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)	
MO-1-SF General Metered Service	8573-W, 8694-W, 8441-W, 8471-W, (C)
Single Family Residential Customers	XXXX-W, 8444-W, 8399-W, 8676-W, 8023-W, 8702-W
MO-1-MF General Metered Service	8574-W, 8695-W, 8448-W, 8473-W, (C)
Multi-Residential Customers	XXXX-W, 8451-W, 8677-W, 8703-W
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MO-1O General Metered Service	8576-W, 8577-W, 7686-W, 7687-W, (C)
Other Customers	8477-W, 8563-W, XXXX-W, 8403-W, 8679-W, 7692-W, 8705-W

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1199	J. T. LINAM	Date Filed _____
Decision	DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410

San Diego, CA 92101

Cancelling

Revised

Revised

Cal. P.U.C. Sheet No.

Cal. P.U.C. Sheet No.

XXXX-W

XXXX-W

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(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1199	J. T. LINAM	Date Filed	_____
Decision		DIRECTOR - Rates & Regulatory	Effective	_____
			Resolution	_____

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