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January 27, 2016

ADVICE LETTER NO. 1108

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its San Diego County District which are attached hereto:

| <u>C.P.U.C. Sheet No.</u> | <u>Title of Sheet</u> | <u>Canceling Sheet No.</u> |
|-------------------------------|---|--------------------------------|
| XXXX-W | Schedule No. SD-1 (continued) San Diego County District Tariff Area GENERAL METERED SERVICE | 8096-W |
| XXXX-W | Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE | New |
| XXXX-W | Schedule No. CA-4H California American Water PRIVATE FIRE HYDRANT SERVICE | 7745-W |
| XXXX-W | TABLE OF CONTENTS Page 3 | 8101-W |
| XXXX-W | TABLE OF CONTENTS Page 2 | 8100-W |
| XXXX-W | TABLE OF CONTENTS Page 1 | 8102-W |

PURPOSE

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the San Diego County District in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

BACKGROUND

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the San Diego County district.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.

REQUEST

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account (“CEBA”) as a quantity based surcharge effective February 1, 2016. The proposed 1 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up including the Consolidated Expense Balancing Account (“CEBA”) for the period January 1, 2015 through November 30, 2015, including interest, is (\$1,167,390).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 1, 2016.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

| | |
|---|---|
| Email Address: Water.Division@cpuc.ca.gov | Mailing Address: CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102 |
|---|---|

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

| Recipients: | E-Mail: | Mailing Address: |
|---|------------------------------------|--|
| Nancy Hollingsworth <i>Financial Analyst IIB</i> | nancy.hollingsworth@amwater.com... | 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260 |
| Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i> | sarah.leeper@amwater.com | 555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615 |
| ca.rates..... | ca.rates@amwater.com | 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260 |

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Nancy Hollingsworth

Nancy Hollingsworth
Financial Analyst IIB

³ G.O. 96-B, General Rule 7.4.3

Schedule No. SD-1 (continued)
San Diego County District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
4. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of gross revenues of each bill. The percentage is 2% to City of San Diego and City of Imperial Beach.
5. Per Advice Letter 1072-A, a surcharge of \$0.0054 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
6. Per Advice Letter 1094, a surcharge of \$1.86 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
7. Per Advice Letter 1108, the under-collected balance in the San Diego County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0339 per 100 gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers. (C)
8. Per Advice Letter 1087-A, a surcharge is applied to each bill to offset increases in purchased water costs imposed by the City of San Diego. This offset results in a needed revenue increase of \$383,360 or 1.4%. The surcharge of \$0.0839 per hundred cubic feet (Ccf) or \$0.0112 per 100 gallons is added to the quantity rate effective September 1, 2015.

(Continued)

(TO BE INSERTED BY UTILITY)
ADVICE LETTER NO. 1108

ISSUED BY
J. LINAM
NAME

(TO BE INSERTED BY C.P.U.C.)
DATE FILED

DECISION NO. D.15-04-007

DIRECTOR - Rates & Regulatory
TITLE

EFFECTIVE
RESOLUTION

Schedule No. CA-4
 California American Water
PRIVATE FIRE PROTECTION SERVICE

SPECIAL CONDITIONS APPLICABLE TO DISTRICTS

Per authorized Advice Letter 1108, the balance in the following District Consolidated Expense Balancing Account (CEBA) will be recovered or refunded through a Fire Service connection based surcharge or surcredit effective February 1, 2016.

SURCHARGES

| Larkfield District | Advice Letter | Duration | Per Month | |
|---------------------------------------|---------------|-----------|-----------|-----|
| For each 1 1/2-inch connection | 1107 | 36 Months | \$0.38 | (N) |
| For each 4-inch connection | 1107 | 36 Months | \$0.54 | |
| For each 6-inch connection | 1107 | 36 Months | \$0.86 | |
| For each 8-inch connection | 1107 | 36 Months | \$1.17 | |
| For each 10-inch connection | 1107 | 36 Months | \$1.50 | (N) |
| San Diego County District | | | | |
| For each 4-inch connection or smaller | 1108 | 12 Months | \$0.89 | (N) |
| For each 6-inch connection | 1108 | 12 Months | \$1.74 | |
| For each 8-inch connection | 1108 | 12 Months | \$2.54 | |
| For each 10-inch connection | 1108 | 12 Months | \$3.64 | |
| For each 12-inch connection | 1108 | 12 Months | \$5.25 | (N) |
| Ventura County District | | | | |
| For each 4-inch connection or smaller | | | | |
| For each 6-inch connection | | | | |
| For each 8-inch connection | | | | |
| For each 10-inch connection | | | | |
| For each 12-inch connection | | | | |
| Sacramento District | | | | |
| For each 4-inch connection or smaller | | | | |
| For each 6-inch connection | | | | |
| For each 8-inch connection | | | | |
| For each 10-inch connection | | | | |
| For each 12-inch connection | | | | |

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RESOLUTION
 NO. _____

Schedule No. CA-4H
California American Water Tariff Area
PRIVATE FIRE HYDRANT SERVICE

SPECIAL CONDITIONS APPLICABLE TO MONTEREY DISTRICT (continued):

- 3. Coastal Water Project (CWP) Surcharge #1: Per Decision 06-12-040, a surcharge will be applied to customer bills to collect for pre-construction costs associated with the CWP. Costs to be recovered through CWP Surcharge #1 include \$1,353,831 in public outreach costs and \$1,639,429 in other costs, for a total of \$2,993,260. A surcharge of 4% will be added to all bills rendered from January 1, 2007 through June 30, 2007. The surcharge will be increased to 7% from July 1, 2007 through December 31, 2007 and will increase to 10% from January 1, 2008 through September 29, 2011. On September 30, 2011, the surcharge will increase to 15% through a time when the outstanding approved amounts, with interest, are recovered. This surcharge is applicable to customers in the Monterey main system.

SPECIAL CONDITIONS APPLICABLE TO SAN DIEGO DISTRICT

- 1. A Surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of gross revenues of each bill. The percentage is 2% to City of San Diego and the City of Imperial Beach.
- 2. Per authorized Advice Letter 1108, the balance in the San Diego District Consolidated Expense Balancing Account (CEBA) will be recovered through a Private Fire Hydrant Service connection based surcharge effective February 1, 2016 for 12 months. The surcharge is \$0.55. (N)

SPECIAL CONDITIONS APPLICABLE TO VENTURA DISTRICT

- 1. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is 2.0% based on gross revenues before taxes and PUC fees for the County of Ventura and the City of Thousand Oaks.

SPECIAL CONDITIONS APPLICABLE TO LOS ANGELES COUNTY DISTRICT

- 1. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows: City of San Marino 1.143%; City of San Gabriel 2.0%; City of Rosemead 1.183%; County of Los Angeles 2.417%; City of Duarte 1.966%; and City of Bradbury 1.959%. Franchise taxes in the Baldwin Hills District are 2.00% per customer on a monthly basis.

SPECIAL CONDITIONS APPLICABLE TO LARKFIELD DISTRICT

- 1. A surcharge is included on each bill to collect franchise fees and/or business license fees paid to the County of Sonoma. The amount collected is based on a percentage of the gross revenues of each bill. The percentage is as follows: Larkfield Franchise Fee – 1.00%

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TITLE

EFFECTIVE _____
RESOLUTION _____

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| <u>SUBJECT MATTER OF SHEET</u> | | <u>C.P.U.C. SHEET NO.</u> |
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| <u>RATE SCHEDULES (Continued):</u> | | |
| Monterey County District (Continued) | | |
| <i>(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas) (Continued)</i> | | |
| MO-1 MU | General Metered Service | 7988-W, 7728-W, 7729-W, 7730-W |
| | Residential/Non-Residential Mixed Use | 7731-W, 7732-W, 7733-W, 7734-W |
| | | 7735-W, 7736-W, 8026-W |
| <i>(Ambler Park, Ralph Lane & Chualar Service Areas)</i> | | |
| MO-ARC-1 | General Metered Service | 7989-W, 7698-W, 7699-W, 7700-W, 8027-W |
| <i>(Toro)</i> | | |
| MO-TO-1 | General Metered Service | 7695-W, 7696-W, 8019-W |
| <i>(Garrapata)</i> | | |
| MO-GA-2 | General Flat Rate Service | 7693-W, 7694-W |
| Sacramento District | | |
| SC-1 | General Metered Service | 7654-W, 7655-W, 7656-W, 8028-W |
| San Diego County District | | |
| SD-1 | General Metered Service | 8094-W, 8095-W, XXXX-W, 8013-W, 7624-W (C) |
| Ventura County District | | |
| VN-1 | General Metered Service | 8084-W, 8085-W, 8086-W, 8014-W, 8074-W, 7627-W |
| VN-9MC | Metered Construction Water | 8087-W, 8088-W, 8015-W, 7629-W |

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RESOLUTION _____

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| Sacramento | 6591-W, 6592-W, 6593-W, 6594-W, 6595-W, 6596-W, 7500-W, 6598-W, 6599-W, 6600-W |
| San Diego County | 6601-W, 6602-W |
| Ventura County | 6603-W, 6604-W |
| <u>RATE SCHEDULES:</u> | |
| All Districts -- Low Income Ratepayer Assistance Program | |
| CA-LIRA | California American Water Low Income Ratepayer Assistance Program |
| | 7717-W, 7986-W, 7987-W, 8089-W, 7721-W, 8097-W, 7971-W, 7724-W, 7725-W, 7726-W, 7999-W |
| CA-4 | California American Water Private Fire Protection Service |
| | 7990-W, 8098-W, 7739-W, 7740-W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, XXXX-W |
| CA-4H | Private Fire Hydrant Service |
| | 8099-W, 7743-W, 7744-W, XXXX- W, 8002-W, 8022-W, 7626-W, |
| | (N) (C) |
| Larkfield District | |
| LK-1 | General Metered Service |
| | 7657-W, 7658-W, 7659-W, 7994-W, 8018-W |
| LK-F | Facilities Fees |
| | 7651-W |
| Los Angeles County District | |
| LA-1 | General Metered Service |
| | 7706-W, 7707-W, 7708-W, 7709-W, 7710-W, 7998-W, 8016-W |
| LA-3M | Measured Irrigation Service |
| | 7711-W, 7712, 7713-W, 8000-W, 8017-W |
| Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas) | |
| MO-1 | General Metered Service Residential & Multi-Residential Customers |
| | 7982-W, 7661-W, 7662-W, 7663-W, 7664-W, 7665-W, 7666-W, 7667-W, 7668-W, 7669-W, 7974-W, 7671-W, 8023-W |
| MO-1C | General Metered Service Non-Residential Customers |
| | 7983-W, 7673-W, 7674-W, 7675-W, 7676-W, 7677-W, 7678-W, 7679-W, 7680-W, 7681-W, 7975-W, 7683-W, 8024-W |
| MO-1O | General Metered Service Other Customers |
| | 7984-W, 7985-W, 7686-W, 7687-W, 7688-W, 7689-W, 7690-W, 7976-W, 7692-W, 8025-W |

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| (TO BE INSERTED BY UTILITY) | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) |
| ADVICE LETTER NO. <u>1108</u> | <u>J. LINAM</u> | DATE FILED _____ |
| | NAME | EFFECTIVE _____ |
| DECISION NO. _____ | <u>DIRECTOR – Rates & Regulatory</u> | RESOLUTION _____ |
| | TITLE | |

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The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

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|--|--|
| TITLE PAGE | 7052-W |
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| PRELIMINARY STATEMENTS | 7828-W, 8078-W, 8003-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7831-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7795-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 7806-W, 8080-W, 8081-W, 8082-W |
| <u>SERVICE AREA MAP:</u> California-American Water Company | 5470-W |
| Larkfield | 6569-W |
| Los Angeles County | 6570-W |
| Baldwin Hills | 6571-W, 6572-W, |
| Duarte | 6578-W |
| San Marino | 6573-W, 6574-W, 6575-W, 6576-W, 6577-W |
| Monterey County | 7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W |

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| | <small>NAME</small> | EFFECTIVE _____ |
| DECISION NO. _____ | <u>DIRECTOR – Rates & Regulatory</u> | RESOLUTION _____ |
| | <small>TITLE</small> | |

SAN DIEGO COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1108

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cityclerk@coronado.ca.us

SAN DIEGO COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1108

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A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1108

BY MAIL:

| | | |
|--|---|---|
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| Shanna Foley Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214 | Niki Bawa Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214 | Jennifer Kalafut Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214 |
| John K. Hawks California Water Association 601 Van Ness Ave., Ste. 2047, Mc E3-608 San Francisco, CA 94102-3200 | Carl Wood Afl-Cio, Natl Regulatory Affairs Dir. Utility Workers Union Of America 2021 S. Nevada St Oceanside, CA 92054 | John Reynolds Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 4107 San Francisco, CA 94102-3214 |
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